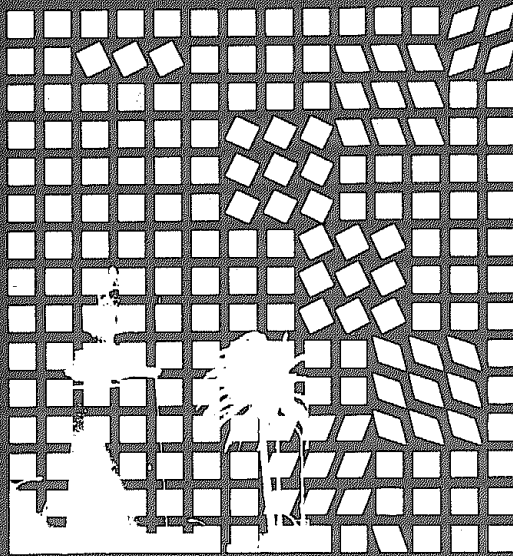


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CAVITATION IN LUBRICATION: AN EVOLUTION MODEL

by

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1. Formulation.

In this communication we present some of the results of [2] on a model related to the lubrication with cavitation arising in bearings. To describe this phenomenon two unknowns are required: the pressure, p , and the relative content, γ , of the oil film. When the lubrication takes place by an incompressible fluid with convection effects, the Elrod-Adams model ([13]) leads to the following equation valid both in the cavitated and the noncavitated regions

$$(h\gamma)_t - \operatorname{div}(h^3 \nabla p + h\gamma \mathbf{V}) = 0 \text{ in } Q,$$

where $Q = (0, T) \times \Omega$, $\Omega \subset \mathbb{R}^2$ is a connected open set with regular boundary $\partial\Omega$, $h(t, x, y) \in C^\infty(Q)$ is a given function with $0 < m \leq h \leq M$, and \mathbf{V} is the given convection term (Some references on the mathematical treatment of this and others related models are [3] [4] [6] [7] [9] [12] and [17]). This problem can be formulated under weak regularity in the following way:

Weak Formulation. Find $(p, \gamma) \in L^2(0, T; H^1(\Omega)) \times L^\infty(Q)$ such that:

i) $p \geq 0$ and $\gamma \in H(p)$ a.e. in Q (here H is the Heaviside graph).

ii)
$$\int_Q h\gamma \xi_t = \int_Q (h^3 \nabla p \nabla \xi + h\gamma \mathbf{V} \nabla \xi) - \int_\Omega h(0)\gamma_0 \xi(0),$$

$$\forall \xi \in H^1(Q) \text{ with } \xi = 0 \text{ on } \partial_1 Q = ((0, T) \times \partial\Omega) \cup (\{T\} \times \bar{\Omega}).$$

iii) $p = p_0$ on $(0, T) \times \partial\Omega$.

2. Existence of Solution by Elliptic Regularization.

We consider the approximated problem:

Elliptic Regularized Formulation. Find $p^\varepsilon \in H^1(Q)$ such that:

i $^\varepsilon$) $p^\varepsilon \geq 0$ a.e. in Q .

ii $^\varepsilon$)
$$\varepsilon(h^3 p^\varepsilon)_t + (hF_\varepsilon(p^\varepsilon))_t - \operatorname{div}(h^3 \nabla p^\varepsilon) - \operatorname{div}(hF_\varepsilon(p^\varepsilon)\mathbf{V}) = 0 \text{ in } Q$$

iii $^\varepsilon$) suitable boundary conditions,

where F_ε is a smooth function approaching the Heaviside graph.

In [2], we obtain a priori estimates for p^ε by means of a suitable election of F^ε . Then, we show the convergence:

$$p^\varepsilon \rightharpoonup p \text{ (weakly) in } L^2(0, T; H^1(\Omega))$$

$$F_\varepsilon(p^\varepsilon) \rightharpoonup \gamma \text{ (weakly star) in } L^\infty(Q).$$

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Finally, p and γ satisfy the integral equation ii) and condition i) holds in the sense $\int_Q hp(1-\gamma) = 0$. (Similar results in the literature can be found in [15],[1],[11]).

3. Uniqueness.

We shall use, now, the following approach:

Parabolic Regularized Formulation. Find $p^\varepsilon \in L^2(0,T;H^1(\Omega))$ such that:

$$a^\varepsilon) \quad p^\varepsilon \geq 0 \text{ a.e. in } Q.$$

$$b^\varepsilon) \quad (hF_\varepsilon(p^\varepsilon))_t - \operatorname{div}(h^3 \nabla p^\varepsilon) - \operatorname{div}(hF_\varepsilon(p^\varepsilon)\nabla) = 0 \text{ in } Q$$

$$c^\varepsilon) \quad p^\varepsilon = z_\varepsilon \text{ on } (0,T) \times \partial\Omega \text{ and } F_\varepsilon(p^\varepsilon(0,\cdot)) = \gamma_0^\varepsilon(\cdot) \text{ on } \Omega,$$

where $\gamma_0^\varepsilon > \varepsilon$, $z_\varepsilon > \varepsilon$ and F_ε is Lipschitz continuous, such that $F_\varepsilon \rightarrow H$ in the sense of graphs, and $F_\varepsilon(C\varepsilon/2) \geq 1$, (here $p_\varepsilon \geq C\varepsilon$ with $C\varepsilon$ independent on the explicit definition of F_ε).

It is not difficult to show that

$$p^\varepsilon \rightarrow \bar{p} \text{ (weakly) in } L^2(0,T;H^1(\Omega))$$

$$F_\varepsilon(p^\varepsilon) \rightarrow \bar{\gamma} \text{ (weakly star) in } L^\infty(Q).$$

with $(\bar{p}, \bar{\gamma})$ solution of the weak formulation which we shall denote in the following as limit solution.

Theorem 1. Let $(\hat{p}, \hat{\gamma})$ be any solution of the weak formulation relative to the initial datum $\hat{\gamma}_0$, let $(\bar{p}, \bar{\gamma})$ be the limit solution relative, to $\hat{\gamma}_0$. Then, for all $t \geq 0$ we have

$$\int_\Omega h(t) [\hat{\gamma}(t) - \bar{\gamma}(t)]^+ dx \leq \int_\Omega h(0) [\hat{\gamma}_0 - \bar{\gamma}_0]^+ dx$$

In particular, if $\hat{\gamma}_0 \leq \bar{\gamma}_0$ then $\hat{\gamma}(t) \leq \bar{\gamma}(t)$ and so the solution of the weak formulation is unique.

Idea of the Proof: Let $\xi \in C^\infty(Q)$, $\xi(t,\cdot) = 0$ in $\partial\Omega$. By integrating in $Q = (0,t) \times \Omega$ for p and \hat{p} and subtracting we found

$$\begin{aligned} & \int_\Omega h(t)\xi(t) [\hat{\gamma}(t) - F_\varepsilon(p^\varepsilon)] = \int_\Omega h(0)\xi(0) [\hat{\gamma}_0 - \gamma_0^\varepsilon]^+ + \\ & + \int_0^t \int_\Omega \left[\hat{\gamma} - F_\varepsilon(p^\varepsilon) \right] \left\{ h\xi_t + \frac{\hat{p} - p_\varepsilon}{\hat{\gamma} - F_\varepsilon(p^\varepsilon)} \operatorname{div} h^3 \nabla \xi - h \nabla \nabla \xi \right\} - \\ & - \int_0^t \int_{\partial\Omega} h^3 \frac{\partial \xi}{\partial \nu} (\hat{p} - p_\varepsilon). \end{aligned}$$

Denote by $A_\varepsilon(t,x) = (\hat{p} - p_\varepsilon)(\hat{\gamma} - F_\varepsilon(p^\varepsilon))^{-1}$ and let ξ be the unique solution of the adjoint retrograde non-degenerate elliptic problem:

$$h\xi_t + A_\varepsilon(t,x) \operatorname{div}(h^3 \nabla \xi) - h \nabla \nabla \xi = 0 \text{ in } Q_t = (0,t) \times \Omega$$

$$\xi(t) = \text{sign}^+ \left[\hat{\gamma}(t) - F_\varepsilon(p^\varepsilon(t)) \right] \text{ on } \Omega \quad (\text{final condition})$$

$$\xi = 0 \quad \text{on } (0, t) \times \partial\Omega.$$

From the maximum principle we get $0 \leq \xi \leq 1$ and using suitable barrier functions we prove:

$$\left| \int_0^t \int_{\partial\Omega} h^3 \frac{\partial \xi}{\partial \nu} (\hat{p} - p_\varepsilon) \right| = O(\varepsilon).$$

Finally

$$\int_{\Omega} h(t) \left[\hat{\gamma}(t) - \bar{\gamma}(t) \right]^+ dx = \lim_{\varepsilon \rightarrow 0} \int_{\Omega} h(t) \left[\hat{\gamma}(t) - F_\varepsilon(p^\varepsilon(t)) \right]^+ dx =$$

$$\lim_{\varepsilon \rightarrow 0} \int_{\Omega} h(0) \xi(0) \left[\hat{\gamma}_0 - \gamma_0^\varepsilon \right] \leq \int_{\Omega} h(0) \left[\hat{\gamma}_0 - \bar{\gamma}_0 \right]^+ dx.$$

4. Time Semidiscretization. (Implicit Scheme).

We denote by α the maximal monotone graph H^{-1} . By introducing the new unknown $w = h\gamma$, one has

$$w/h \in H(p) \Leftrightarrow p \in \alpha(w/h).$$

Then, w satisfies:

$$w_t - \text{div}[h^3 \nabla \alpha(w/h) + wV] \ni 0 \quad \text{in } Q$$

$$w(0, x) = w_0(x) \quad \text{in } \Omega,$$

where $w_0(\cdot) = h(0, \cdot) \gamma_0(\cdot)$.

Using an homogeneous discretization in time ($t_n - t_{n-1} = \lambda$), the above equation becomes

$$\frac{w(t_n) - w(t_{n-1})}{\lambda} - \text{div} \left[h_n^3 \nabla \alpha \left(\frac{w(t_n)}{h(t_n)} \right) + w(t_n) V(t_n) \right] \ni 0$$

From this implicit scheme we lead to the following family of problems

$$w - \lambda \text{div} \left[h_n^3 \nabla \alpha \left(\frac{w}{h_n} \right) + wV \right] \ni f \quad \text{in } \Omega$$

$$\alpha \left(\frac{w}{h_n} \right) \ni p_\varepsilon \quad \text{on } \partial\Omega,$$

where f is a given bounded function.

Now we define the family of abstract operators

$$A_n(w) = - \text{div} \left[h_n^3 \nabla \alpha \left(\frac{w}{h_n} \right) + wV \right]$$

and

$$D(A_n) = \left\{ w \in L^2 : 0 \leq \frac{w}{h_n} \leq 1, \alpha \left(\frac{w}{h_n} \right) \in H^1(\Omega), Aw \in L^2, \alpha \left(\frac{w}{h_n} \right) = p_\varepsilon \text{ on } \partial\Omega \right\}.$$

We have

Theorem 2. *There exist a positive constant k depending on V such that if $\lambda \leq k$ and $f \in L^\infty$ then there exist an unique $w \in D(A_n)$ satisfying $w + \lambda A_n w \ni f$.*

Moreover, if w_1 and w_2 are solutions for f_1 and f_2 respectively then

$$\left\| (w_1 - w_2)^+ \right\|_{L^1(\Omega)} \leq \left\| (f_1 - f_2)^+ \right\|_{L^1(\Omega)}.$$

Idea of the Proof. We approach α for α_ε Lipschitz continuous and such that $\alpha_\varepsilon \rightarrow \alpha$ in the sense of graphs. We get existence for the problem associated to α_ε by using pseudo-monotone operators ([16]). The above L^1 estimate is now proved, in that case, by multiplying by $\text{sign}_+(w_1 - w_2)$. The uniqueness for the regularized problems is obtained again by a duality method, generalizing a preliminary result of [18]. \square

Results on the convergence, when λ goes to zero, are given in [2] in two following cases:

- 1) h and V are time-independent. We get strong convergence in $L^1(\Omega)$ by using an abstract result of [8].
- 2) h and V depend on t in a "regular" way. The L^1 convergence is obtained by showing a suitable condition on the resolvent operator [14]).

5. Monotonicity in time of γ

Under suitable conditions we prove the monotonicity of the free boundary defined as $\partial[p=0]$.

Theorem 3. *The following inequality holds:*

$$\text{div}(Vh)(\chi_0 - \gamma) - Vh \nabla \gamma - h_t(\chi_0 - \gamma) + h\gamma_t \geq 0 \text{ in } Q$$

where $\chi_0 = \chi$ [$p > 0$]. So, if $V=0$ then $h_t(\chi_0 - \gamma) \leq h\gamma_t$ and $\gamma_t \geq 0$ when $h_t \leq 0$.

Idea of the Proof. We take the test function $H_\varepsilon(p)\xi$ with $H_\varepsilon(p) = \min\{1, p/\varepsilon\}$ and $\xi \in C_0^\infty$ such that $\text{supp}\xi(\cdot, x) \subset (\tau_0, T - \tau_0)$, $\tau_0 > 0$. Then,

$$-\int_Q h_t H_\varepsilon(p)\xi = \int_Q h^3 |\nabla p|^2 H'_\varepsilon \xi + \int_Q h^3 \nabla p \nabla \xi H_\varepsilon(p) - \int_Q \text{div}(Vh) H_\varepsilon(p)\xi$$

and hence

$$-\int_Q h_t \chi_0 \xi + \int_Q \text{div}(Vh) \chi_0 \xi - \int_Q h^3 \nabla p \nabla \xi \chi_0 \geq 0 \text{ for all } \xi.$$

The result follows by subtracting

$$-h_t \chi_0 + \text{div}(Vh) \chi_0 + \text{div}(h^3 \nabla p) \geq 0$$

and

$$-(h\gamma)_t + \text{div}(Vh\gamma + h^3 \nabla p) = 0. \square$$

Remark. We note $H_\varepsilon(p)\xi \notin H^1(Q)$ and so the detailed proof avoids the term $[H_\varepsilon(p)]_t$. The argument is similar to the one used in [5].

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