

# A NOTE ON NORM ATTAINING FUNCTIONALS

M. JIMÉNEZ SEVILLA AND J.P. MORENO

ABSTRACT. We are concerned in this paper with the density of functionals which do not attain its norm in Banach spaces. Some preceding results given for separable spaces are extended to the non separable case. We obtain that a Banach space  $X$  is reflexive if, and only if it satisfies any of the following properties: (i)  $X$  admits a norm  $\|\cdot\|$  with the Mazur Intersection Property and the set  $NA_{\|\cdot\|}$  of all norm attaining functionals of  $X^*$  contains an open set, (ii) the set  $NA_{\|\cdot\|}^1$  of all norm one elements of  $NA_{\|\cdot\|}$  contains a (relative) weak\* open set of the unit sphere, (iii)  $X^*$  has  $C^*PCP$  and  $NA_{\|\cdot\|}^1$  contains a (relative) weak open set of the unit sphere, (iv)  $X$  is  $WCG$ ,  $X^*$  has  $CPCP$  and  $NA_{\|\cdot\|}^1$  contains a (relative) weak open set of the unit sphere. Finally, if  $X$  is separable,  $X$  is reflexive if, and only if,  $NA_{\|\cdot\|}^1$  contains a (relative) weak open set of the unit sphere.

## 1. NOTATION AND PRELIMINARIES.

Given a real Banach space  $(X, \|\cdot\|)$  with dual  $(X^*, \|\cdot\|^*)$  we denote by  $B_{\|\cdot\|}$  its closed unit ball and by  $S_{\|\cdot\|}$  its unit sphere. A functional  $f \in X^*$  attains its norm if there exists  $x \in S_{\|\cdot\|}$  such that  $f(x) = \|f\|^*$ . We denote by  $NA_{\|\cdot\|}$  or simply by  $NA$  (if there is no ambiguity on the norm) the set of all norm attaining functionals of  $X^*$ , and by  $NA_{\|\cdot\|}^1$  or  $NA^1$  the set of norm one elements of  $NA_{\|\cdot\|}$ .

The classical James' Theorem [14] asserts that a Banach space  $X$  is non reflexive if and only if  $NA \neq X^*$ . On the other hand, the Bishop-Phelps Theorem [23] tell us that  $NA$  is always dense in  $X^*$ . The structure of the set  $NA$  has been studied by many authors. Petunin and Plichko [22] proved that a separable Banach space  $X$  is isometric to a dual space whenever there is a closed and weak\* dense subspace  $H \subset NA$ . Bourgain and Stegall [2] characterized Banach spaces with the Radon-Nikodym property as those spaces satisfying that, for every bounded and closed set  $C$ , the functionals attaining their supremum on  $C$  is a residual set of  $X^*$ . Moors [19] showed the above characterization of the Radon Nikodým property considering

---

1991 *Mathematics Subject Classification.* 46B20.

*Key words and phrases.* Reflexive spaces, Mazur Intersection Property, (Weak\*) Convex Point of Continuity Property.

Partially supported by DGICYT PB 93-0452.

only balls of equivalent norms. Recently, Debs, Godefroy and Saint Raymond [4] obtained that if  $X$  is separable and non reflexive then  $NA$  is not a weak\*- $G_\delta$  subset of  $X^*$ .

## 2. THE PROBLEM.

It is our purpose in this note to discuss some topological properties of  $NA$  implying reflexivity. More precisely, we are motivated by the following question: is a Banach space reflexive provided the set  $NA$  has nonempty interior? It can be easily seen, using a quite simple renorming (cf. see [1], [21]), that the answer is negative when the topology meant is the norm topology. This situation suggests, at least, two possibilities. First, to investigate which additional geometric conditions imply a positive answer. Second, to consider the same problem for the weak or the weak\* topologies.

There exist previous results in both directions. For a separable and non-reflexive Banach space  $X$ , Acosta and Galán [1] proved the (norm) density of  $X^* \setminus NA$  whenever the norm either is very smooth or has the Mazur intersection property. Debs, Godefroy and Saint Raymond showed in [4] that  $S_{\|\cdot\|} \setminus NA$  is weak\* dense, again for  $X$  separable and non reflexive. Our aim is to remove, whereas possible, the condition of separability, thus improving the preceding results. The technique employed is different to those exhibited in [1] and [4], although they have in common that all rely on the James' Theorem. Similar results with other geometrical conditions, namely Hahn-Banach smoothness and a new ball separation property introduced by Chen and Lin, are obtained.

When considering the weak instead of the norm topology, often the results do not depend on the norm of the space. We prove that a Banach space whose dual has the  $C^*PCP$  is either reflexive or  $NA^1$  contains no (relative) weak open sets of the unit sphere. The same conclusion holds for every separable Banach space. Finally, we present a renorming result for weakly compactly generated Banach spaces and deduce some consequences in the line of previous results.

Closely related to this note is the remarkable paper of G. Godefroy [10].

3. THE RESULTS.

We begin our discussion by showing that a simple convexity property of  $NA^1$  implies reflexivity. Recall that a slice of the ball  $B_{\|\cdot\|}$  is a set of the form  $S(B_{\|\cdot\|}, f, \delta) = \{x \in B_{\|\cdot\|} : f(x) > \delta\}$  with  $f \in X^* \setminus \{0\}$  and  $\delta < \|f\|^*$ . Throughout,  $\text{int } C$  and  $\partial C$  denotes respectively the interior and the border of a set  $C \subset X$ .

**Lemma 3.1.** *A Banach space  $X$  is reflexive provided its dual unit ball  $B_{\|\cdot\|^*}$  contains a slice of norm attaining functionals.*

*Proof.* By assumption, there exist  $T \in X^{**}$  and  $0 < \delta < \|T\|^{**} = 1$  such that each functional of the slice  $S \equiv S(B_{\|\cdot\|^*}, T, \delta)$  attains its norm. We take  $x_0^* \in S$  with  $1 - T(x_0^*)$  small enough so that we have

$$B = (S - x_0^*) \cap (-S + x_0^*) = (B_{\|\cdot\|^*} - x_0^*) \cap (B_{\|\cdot\|^*} + x_0^*).$$

Thus,  $B$  is the unit ball of an equivalent dual norm  $|\cdot|^*$  on  $X^*$  and every functional of  $S_{|\cdot|^*}$  attains its norm. Indeed, if  $x^* \in S_{|\cdot|^*}$ , then  $x^* + x_0^* \in NA^1_{\|\cdot\|}$  or  $-x^* + x_0^* \in NA^1_{\|\cdot\|}$ . Suppose the first assertion: there is  $x \in S_{\|\cdot\|}$  such that  $(x^* + x_0^*)(x) \geq y^*(x)$ , for every  $y^* \in B_{\|\cdot\|^*}$ . Therefore,  $x^*(x) \geq y^*(x)$ , for every  $y^* \in S - x_0^*$ . Thus,  $x^*(x/|x|) \geq y^*(x/|x|)$  for every  $y^* \in B_{|\cdot|^*}$  and  $x^*$  attains its norm at  $x/|x|$ . James' Theorem now allows us to conclude that  $X$  is reflexive.  $\square$

The following result shows the weak\* density of  $S_{\|\cdot\|^*} \setminus NA$  in  $S_{\|\cdot\|^*}$  for every non reflexive Banach space, thus extending the one given in [4, Lemma 11] for the separable case.

**Proposition 3.2.** *Given a Banach space  $X$ , the set  $C = S_{\|\cdot\|^*} \setminus NA$  is weak\* dense in  $S_{\|\cdot\|^*}$  or  $X$  is reflexive. Also, in the first case we have that  $\overline{\text{conv}}(C) = B_{\|\cdot\|^*}$ .*

*Proof.* If we assume that  $C = S_{\|\cdot\|^*} \setminus NA$  is not weak\* dense, there exist  $\{x_i\}_{i=1}^n \subset S_{\|\cdot\|}$  and  $\{\delta_i\}_{i=1}^n \subset (0, 1)$  such that the set  $W = \{x^* \in B_{\|\cdot\|^*} : x^*(x_i) \geq \delta_i, i = 1, \dots, n\}$  has (relative) non empty interior in  $B_{\|\cdot\|^*}$  and  $V = W \cap S_{\|\cdot\|^*} \subset NA$ . Also, the set  $W$  is a bounded, weak\* closed and convex set in  $X^*$ . Since  $\partial W \subset V \cup \cup_{i=1}^n \{x^* \in W : x^*(x_i) = \delta_i\}$ , we deduce that for every  $x^* \in \partial W$  there is  $x \in X \setminus \{0\}$  satisfying  $x^*(x) \geq y^*(x)$  for every  $y^* \in W$ . It is not difficult to observe that the same property holds for the weak\* closed convex bounded and symmetric set

$$U = (W - y_o^*) \cap (y_o^* - W),$$

with  $0 \in \text{int } U$ , whenever  $y_0^* \in \text{int } W$ . Hence, the set  $U$  is the unit ball of an equivalent dual norm  $|\cdot|^*$  on  $X^*$  and, using James' Theorem again, we obtain that  $X$  is reflexive.

Suppose now that  $X$  is non reflexive and  $\overline{\text{conv}}(C)$  is a proper subset of  $B_{\|\cdot\|^*}$ . Then, there is a slice  $S$  of  $B_{\|\cdot\|^*}$  such that  $S \cap \overline{\text{conv}}(C) = \emptyset$ . Thus, Lemma 3.1 implies that  $X$  is reflexive, a contradiction.  $\square$

With Lemma 3.1 in hand, we are ready to prove the next result concerning two well known geometrical properties. To do so, we start by recalling some definitions. A point  $x \in S_{\|\cdot\|}$  is said to be a *denting point* of  $B_{\|\cdot\|}$  if for every  $\varepsilon > 0$  there exists  $f \in X^*$  and  $0 < \delta < f(x)$  such that  $\text{diam } S(B_{\|\cdot\|}, f, \delta) < \varepsilon$ . A point  $f \in S_{\|\cdot\|^*}$  is said to be a *weak\* denting point* of  $B_{\|\cdot\|^*}$  if for every  $\varepsilon > 0$  there exists  $x \in X$  and  $0 < \delta < f(x)$  such that  $\text{diam } S(B_{\|\cdot\|^*}, x, \delta) < \varepsilon$ . A Banach space is said to have the *Mazur Intersection Property* [18] if every bounded closed convex set is an intersection of closed balls. Analogously, a dual Banach space has the *Weak\* Mazur Intersection Property* [8] if every weak\* compact convex set is an intersection of closed dual balls. A Banach space  $(X, \|\cdot\|)$  has the Mazur intersection property if, and only if, the set of weak\* denting points of  $B_{\|\cdot\|^*}$  is dense in  $S_{\|\cdot\|^*}$ . Similarly, a dual Banach space  $(X^*, \|\cdot\|^*)$  has the weak\* Mazur intersection property if, and only if, the set of denting points of  $B_{\|\cdot\|}$  is dense in  $S_{\|\cdot\|}$  [8].

**Proposition 3.3.** *Let  $X$  be a Banach space. The following are equivalent:*

- (i)  $X$  is reflexive.
- (ii)  $X$  admits an equivalent norm  $\|\cdot\|$  with the Mazur Intersection Property and  $NA_{\|\cdot\|}$  has nonempty interior.
- (iii)  $X$  admits an equivalent norm  $|\cdot|$  such that  $(X^{**}, |\cdot|^{**})$  has the Weak\* Mazur Intersection Property and  $NA_{|\cdot|}$  has nonempty interior.

*Proof.* Every reflexive Banach space can be equivalently renormed with a dual locally uniformly rotund norm [25] and thus with a Fréchet differentiable norm. It is well known that every Fréchet differentiable norm has the Mazur Intersection Property [6] so (i)  $\Rightarrow$  (ii). Using the characterization mentioned above, it is clear that (ii)  $\Rightarrow$  (iii) and, then, we only need to prove (iii)  $\Rightarrow$  (i). Indeed, if the set of denting points of  $B_{|\cdot|}$  is dense in  $S_{|\cdot|}$  and  $NA_{|\cdot|}$  contains an open set, we can find a slice of the unit ball of norm attaining functionals and apply Lemma 3.1.  $\square$

Denote by  $N(X)$  the metric space of all equivalent norms on  $X$  endowed with the uniform convergence on bounded sets.

**Corollary 3.4.** *Let  $X$  be a non reflexive Banach space with the Mazur intersection property. Then, the set  $\mathcal{C} = \{|\cdot| \in N(X) : X^* \setminus NA_{|\cdot|}^*$  is dense in  $X^*\}$  is residual.*

*Proof.* Note that the set of equivalent norms with the Mazur intersection property in a Banach space  $X$ , which is included in  $\mathcal{C}$ , is empty or residual [7].  $\square$

It seems to be unknown if having an equivalent norm with the Mazur Intersection Property is in fact more restrictive than having an equivalent norm so that its bidual norm has the weak\* Mazur Intersection Property. On the other hand, it is a natural question to know if there exist other geometrical properties which might play the role of the Mazur intersection property in the previous results. Let us recall some definitions. A *point of continuity* of  $C \subset X$  is a point at which the relative norm topology and the weak topology coincide on  $C$ . Analogously, a *point of weak\* continuity* of  $C \subset X^*$  is a point at which the relative norm topology and weak\* topology agree on  $C$ . Finally, a *point of weak\*-weak continuity* of  $C \subset X$  is a point at which the relative weak\* topology and weak topology coincide on  $C$ . Denote by  $C(w, \|\cdot\|)$ ,  $C(w^*, \|\cdot\|^*)$  and  $C(w^*, w)$  the set of points of continuity, weak\* continuity and weak\*-weak continuity of  $B_{\|\cdot\|}$  and  $B_{\|\cdot\|^*}$ , respectively. The following result is both a generalization and an alternative proof of Proposition 3.3.

**Proposition 3.5.** *Let  $X$  be a Banach space. Suppose that the set  $C(w^*, \|\cdot\|^*)$  ( $C(w^*, w)$ ) is dense in the norm (weak) topology in  $S_{\|\cdot\|^*}$ . Then,  $X$  is reflexive or  $S_{\|\cdot\|^*} \setminus NA$  is dense (weak dense, respectively) in  $S_{\|\cdot\|^*}$ .*

*Proof.* In the first case, suppose that  $S_{\|\cdot\|^*} \setminus NA$  is not dense. Then, there is an  $x_0^* \in C(w^*, \|\cdot\|^*)$  and a (relative) open neighbourhood  $U$  of  $x_0^*$  in  $S_{\|\cdot\|^*}$  of norm attaining functionals. Since  $x_0^* \in C(w^*, \|\cdot\|^*)$  there is a (relative) weak\* open neighbourhood  $V \subseteq U$  of  $x_0^*$  in  $S_{\|\cdot\|^*}$ . Now, apply Lemma 3.2. The second case is analogous.  $\square$

We turn now to a brief look at a new ball separation property introduced by Chen and Lin [3] which generalizes the Mazur Intersection Property. By definition, a Banach space  $X$  has the *Property (II)* if for every bounded closed and convex subset  $B$  in  $X$ ,  $B = \bigcap_{i \in I} K_i$  where, for every  $i \in I$ ,  $K_i = \overline{\text{con}}(\bigcup_{i=1}^n B_i)$  for some

closed balls  $B_1, B_2, \dots, B_n$  in  $X$ . They showed that a Banach space  $X$  has the Property (II) if and only if  $C(w^*, \|\cdot\|^*)$  is norm dense in  $S_{\|\cdot\|^*}$ . Also, they showed that the set of equivalent norms with Property (II) is either empty or residual.

**Corollary 3.6.** *A Banach space  $X$  is reflexive if, and only if,  $X$  admits an equivalent norm  $\|\cdot\|$  with Property (II) and  $NA$  contains an open set.*

A Banach space  $X$  is *Hahn-Banach smooth* [24] if for every  $x^* \in X^*$  there is a unique Hahn-Banach extension in  $X^{***}$ , that is, if  $y \in X^{***}$  and  $y|_X = x^*$  then  $y = x^*$ . Equivalently, if  $S_{\|\cdot\|^*} = C(w^*, w)$  [9], so the possibility of applying again Proposition 3.5 arises. Notice also that it has been proved in [3] that the set of equivalent Hahn-Banach smooth norms is either empty or residual.

**Corollary 3.7.** *A Banach space  $X$  is reflexive if and only if it admits an equivalent Hahn-Banach smooth norm  $\|\cdot\|$  so that  $NA_{\|\cdot\|}^1$  contains a (relative) weak open set in the unit sphere.*

In what follows, we deal with conditions on a Banach space implying the weak density of  $S_{\|\cdot\|^*} \setminus NA$  in the unit sphere, for every equivalent norm. Recall that a Banach space  $X$  has the *Convex Point of Continuity Property* (*CPCP*, in short) if every bounded closed convex subset of  $X$  has a point of continuity. Analogously, a dual Banach space  $X^*$  has the *Weak\* Convex Point of Continuity Property* (*C\*PCP*, in short) provided every weak\* compact subset of  $X^*$  has a point of weak\* continuity.

**Proposition 3.8.** *Let  $X$  be a Banach space such that  $X^*$  has the C\*PCP. Then  $S_{\|\cdot\|^*} \setminus NA$  is weak dense in the unit sphere or  $X$  is reflexive.*

*Proof.* Suppose that there is a (relative) weak open and convex set  $U \subset B_{\|\cdot\|^*}$  such that its (norm) closure  $W$  satisfies  $W \cap S_{\|\cdot\|^*} \subset NA^1$ . We may assume that  $0 \in U$ . If not, take  $x^* \in U$ ,  $\|x^*\|^* < 1$ , and consider the new equivalent dual norm  $\|\cdot\|$  whose unit ball is  $B = (-x^* + B_{\|\cdot\|^*}) \cap (x^* + B_{\|\cdot\|^*})$ . Observe that  $W_1 = (-x^* + W) \cap (x^* + W)$  satisfies  $W_1 \cap S_{\|\cdot\|} \subset NA_{\|\cdot\|}^1$ . We denote this new norm  $\|\cdot\|$  also by  $\|\cdot\|$  and  $W_1$  by  $W$ . We may assume that  $W$  is of the form

$$W = \{x^* \in B_{\|\cdot\|^*} : |F_i(x^*)| \leq \delta_i, i = 1, \dots, n\},$$

where  $\{F_i\}_{i=1}^n \subset X^{**}$  and  $\{\delta_i\}_{i=1}^n$  are positive numbers. By assumption, there is a point of weak\* continuity  $y^*$  in the weak\* closure of  $W$ . Since  $W$  is (norm) closed,

$y^*$  lies in  $W$ . Now if we knew  $|F_i(y^*)| < \delta_i$  for every  $i = 1, \dots, n$ , then  $y^*$  would be a point of weak\* continuity of  $B_{\|\cdot\|_*}$  letting us to conclude, by Proposition 3.2, that  $X$  is reflexive.

Otherwise we may assume that, for instance,  $F_n(y^*) = \delta_n$ . There exist  $\{x_i\}_{i=1}^k \subset X$  and  $\{\gamma_i\}_{i=1}^k$  positive numbers such that, if we define  $V = \{x^* \in B_{\|\cdot\|_*} : x_i(x^*) \geq \gamma_i, i = 1, \dots, n\}$ , then  $V \cap W$  has nonempty interior and  $s = \inf_{V \cap W} F_n > -\delta_n$ . Take  $z^* \in \text{int}(V \cap W)$  satisfying  $F_n(z^*) < \frac{1}{3}\delta_n + \frac{2}{3}s$  and consider the equivalent dual norm  $|\cdot|_*$  whose unit ball is

$$B_{|\cdot|_*} = (-z^* + V) \cap (z^* - V) .$$

We claim that the set

$$W_1 = \{x^* \in B_{|\cdot|_*} : |F_i(x^*)| \leq \delta_i - |F_i(z^*)|, i = 1, \dots, n - 1\}$$

satisfies  $W_1 \cap S_{|\cdot|_*} \subset NA_{|\cdot|_*}^1$ . Iterating this argument, after at most  $n$  times, we obtain that the space is reflexive. We carry out now the details of the claim.

Clearly, for each  $x^* \in W_1$ ,  $z^* \pm x^* \in V$  and  $|F_i(z^* \pm x^*)| \leq \delta_i$ ,  $i = 1, \dots, n - 1$ . Also, it is easy to see that  $\inf_{W_1} F_n \geq s - F_n(z^*)$  and, by symmetry,  $\sup_{W_1} F_n \leq F_n(z^*) - s$ . Then

$$\begin{aligned} s &= F_n(z^*) + (s - F_n(z^*)) \leq F_n(z^* \pm x^*) \\ &\leq F_n(z^*) + (F_n(z^*) - s) < \frac{2}{3}\delta_n + \frac{1}{3}s, \end{aligned}$$

thus implying that  $z^* \pm x^* \in V \cap W$ . Finally, if  $x^* \in W_1 \cap S_{|\cdot|_*}$ , then  $z^* + x^* \in \partial V \cap W$  (or  $z^* - x^* \in \partial V \cap W$ ) and  $V$  is supported at the point  $z^* + x^*$  (or  $z^* - x^*$ ) by a functional of  $X$ . Therefore,  $B_{|\cdot|_*}$  is supported at the point  $x^*$  by a functional of  $X$ .  $\square$

*Remark 3.9.* The above proof yields a similar result to the one given in Proposition 3.8 replacing  $C^*PCP$  by the following apparently weaker condition: every closed, convex and bounded set of  $X^*$  has a point of weak\*-weak continuity.

**Proposition 3.10.** *Let  $X$  be a separable Banach space. Then  $S_{\|\cdot\|_*} \setminus NA$  is weak dense in  $S_{\|\cdot\|_*}$  or  $X$  is reflexive.*

*Proof.* Suppose there is a weak open set  $W \subset X$  such that  $W \cap S_{\|\cdot\|_*} \neq \emptyset$  and  $W \cap S_{\|\cdot\|_*} \subset NA^1$ . We may assume, as in Proposition 3.8, that  $0 \in W$ . Then,

there is a closed subspace  $H \subset X^*$  of finite codimension such that  $H \subset W$  and thus  $H \subset NA$ . Suppose that  $X$  is not reflexive and  $S_{\|\cdot\|} \setminus NA$  is not weak dense. By Proposition 3.8,  $X$  is not Asplund and hence  $X^*$  and so  $X^{**}$  are not separable. If  $H = \bigcap_{i=1}^n \text{Ker } x_i^{**}$ , where  $x_i^{**} \in X^{**}$ , and  $E = \overline{\text{span}}(X, \{x_i^{**}\}_1^n)$ , there is  $F \in S_{\|\cdot\|^{**}}$  so that  $0 < \theta < \text{dist}(F, E)$ . Let  $\{x_k\}$  be dense in  $X$  and for each  $k$  choose  $x_k^* \in B_{\|\cdot\|}$  with

- (a)  $F(x_k^*) \geq \theta$ ,
- (b)  $x_k^*(x_i) = 0$  if  $i \leq k$ ,
- (c)  $x_i^{**}(x_k^*) = 0$ ,  $i = 1, \dots, n$ .

For every  $x^* \in \overline{\text{conv}}(x_k^*)$ ,  $F(x^*) \geq \theta$ , so  $\|x^*\| \geq \theta$ , and  $\lim_k x_k^*(x) = 0$ , if  $x \in X$ . If we apply Simons' inequality we obtain  $y^* \in \overline{\text{conv}}(x_k^*)$  such that  $y^*$  does not attain its norm. Notice that  $y^* \in H \subset NA$ , which is a contradiction.  $\square$

**Corollary 3.11.** *Let  $X$  be a Banach space such that the set  $S_{\|\cdot\|} \setminus NA$  is not weak dense in  $S_{\|\cdot\|}$ . Then every separable quotient of  $X$  is reflexive.*

*Proof.* Let  $Y \subset X$  be a closed subspace so that  $X/Y$  is separable. Consider the quotient norm on  $X/Y$ . Recall that if  $\pi : X \rightarrow X/Y$  is the canonical projection, then

$$\pi^* : (X/Y)^* \rightarrow Y^\perp \subset X^*,$$

is an isometry, where  $Y^\perp = \{x^* \in X^* : x^*(y) = 0 \text{ for every } y \in Y\}$ . Let  $W$  be a weak open and convex set such that  $V = W \cap S_{\|\cdot\|}$  is non-empty and is included in  $NA^\perp$ . First, assume that  $Y^\perp \cap V \neq \emptyset$ . Note that if  $y^* \in S_{\|\cdot\|} \cap Y^\perp$  attains its norm at  $x \in S_{\|\cdot\|}$ , then  $y^*(\pi(x)) = y^*(x) = 1$ . That is,  $y^*$  attains its norm at  $\pi(x) \in S_{X/Y}$ , being  $S_{X/Y}$  the unit sphere of  $X/Y$ . Now, we apply Proposition 3.10 to the separable space  $X/Y$  and deduce that it is reflexive.

If  $Y^\perp \cap V = \emptyset$ , we work with  $Z = Y \cap \text{Ker } x^*$ , where  $x^* \in V$ . It is clear that  $X/Z$  is separable and  $Z^\perp = \overline{\text{span}}(Y^\perp, x^*)$ , so  $Z^\perp \cap V \neq \emptyset$ .  $\square$

The following results concern weakly compactly generated spaces (WCG, for short). Recall that a Banach space  $X$  is WCG if there is a weakly compact set in  $X$  which spans a dense set of  $X$ . These spaces can be renormed in a suitable manner keeping the same set of norm attaining functionals. We use here an idea of [4].

**Lemma 3.12.** *Let  $X$  be a WCG Banach space and  $x^* \in S_{\|\cdot\|}$ . Then, for every  $\lambda > 0$  there is a  $\lambda$ -isometric norm  $|\cdot|$  such that,*

- (i)  $NA_{|\cdot|} = NA_{\|\cdot\|}$ .
- (ii)  $x^*$  is a extreme point of  $B_{|\cdot|}^*$ .
- (iii)  $x^*$  is a point of continuity of the norm  $|\cdot|^*$  whenever  $x^*$  is a point of continuity of the norm  $\|\cdot\|^*$ .

*Proof.* By assumption,  $X$  is WCG, and thus the closed hyperplane  $\text{Ker } x^* = \{x \in X : x^*(x) = 0\}$  is WCG, too. Then, there is a weakly compact set  $W \subset B_{\|\cdot\|}^*$ , which we may suppose convex by the Krein-Šmulian Theorem, such that  $\overline{\text{span}}(W) = \text{Ker } x^*$ . Denote by  $C = \overline{\text{conv}}(-K \cup K)$ , which is convex, symmetric and weakly compact, too. Let  $|\cdot|$  be the norm whose unit ball is  $B_{|\cdot|} = B_{\|\cdot\|} + \lambda C$ . Since  $C$  is weakly compact, we clearly have (i). To prove (ii), observe that the dual norm  $|\cdot|^*$  has the following expression, for every  $y^* \in X^*$ :

$$\begin{aligned}
 |y^*|^* &= \sup\{y^*(y) + y^*(y') : y \in B_{\|\cdot\|}, y' \in \lambda C\} \\
 (3.1) \quad &= \sup\{y^*(y) : y \in B_{\|\cdot\|}\} + \sup\{y^*(y') : y' \in \lambda C\} \\
 &= \|y^*\|^* + \lambda \sup_C y^*.
 \end{aligned}$$

Suppose now that there are  $y^*, z^* \in X^*$  so that  $\frac{1}{2}(y^* + z^*) = x^*$  and  $|y^*|^* + |z^*|^* = 2|x^*|^*$ . By convexity arguments and (3.1), we have

$$\sup_C y^* + \sup_C z^* - 2\sup_C x^* = 0.$$

Since  $\sup_C x^* = 0$ ,  $\sup_C y^* \geq 0$  and  $\sup_C z^* \geq 0$ , we deduce  $\sup_C y^* = \sup_C z^* = 0$ . Thus,  $y^* = z^* = x^*$ .

Finally, let  $\{x_\alpha^*\}$  be a net in  $X^*$  so that  $|x_\alpha^*|^* = |x^*|^*$  and  $\lim_\alpha x_\alpha^* = x^*$  in the weak topology. We just need prove that  $\lim_\alpha \|x_\alpha^*\|^* = \|x^*\|^*$  to obtain  $\lim_\alpha \|x_\alpha^* - x^*\|^* = 0$ . Since  $|x^*|^* = \|x^*\|^*$  the first assumption on  $\{x_\alpha^*\}$  implies  $\|x_\alpha^*\|^* \leq \|x^*\|^*$  for every  $\alpha$ . On the other hand, the second assumption on  $\{x_\alpha^*\}$  yields  $\|x^*\|^* \leq \liminf_\alpha \|x_\alpha^*\|^*$ . Hence,  $\lim_\alpha \|x_\alpha^*\|^* = \|x^*\|^*$ .  $\square$

With the above lemma, we gain insight into the very special nature of the set  $NA$  and the geometry of WCG Banach spaces. As a consequence, we derive the following proposition.

**Proposition 3.13.** *Let  $X$  be a WCG Banach space. If  $C(w, \|\cdot\|^*)$  is dense (weak dense) in  $S_{\|\cdot\|^*}$ , then  $S_{\|\cdot\|^*} \setminus NA$  is dense (weak dense, respectively) in  $S_{\|\cdot\|^*}$  or  $X$  is reflexive.*

*Proof.* Suppose there is a point  $x^* \in C(w, \|\cdot\|^*)$  with a relative neighbourhood (weak neighbourhood) of norm attaining functionals in  $S_{\|\cdot\|^*}$ . By Lemma 3.12, we modify the norm so that  $x^*$  is also an extreme point of the unit ball  $B_{|\cdot|}$  of the new norm  $|\cdot|$  and thus, by [17],  $x^*$  is a denting point of  $B_{|\cdot|}$ . Now, observe that  $x^*$  has a relative neighbourhood (weak neighbourhood) of norm attaining functionals in  $S_{|\cdot|}$ , too. Hence, there is a slice of  $B_{|\cdot|}$  of norm attaining functionals containing  $x^*$ . Now, apply Lemma 3.1 to obtain that  $X$  is reflexive.  $\square$

**Proposition 3.14.** *Let  $X$  be a WCG Banach space such that  $X^*$  has the CPCP. Then, the set  $S_{\|\cdot\|^*} \setminus NA$  is weak dense in  $S_{\|\cdot\|^*}$  or  $X$  is reflexive.*

To prove the proposition, it suffices to see that  $C(w, \|\cdot\|^*)$  is weak dense in  $S_{\|\cdot\|^*}$ . It is a consequence of the following assertion, which is a generalization of [5, Lemma 3], after recaptured in [13, Lemma 8], given for slices. As it seems to have some independent interest, we decide to isolate the argument in a lemma.

**Lemma 3.15.** *Consider  $\{f_i\}_{i=1}^n \subset S_{\|\cdot\|^*}$ ,  $\{\delta_i\}_{i=1}^n \subset (0, 1)$  and  $y \in B_{\|\cdot\|^*}$  such that  $f_i(y) > 1 - \delta_i$ . Then every point of continuity of  $W = \{x^* \in B_{\|\cdot\|^*} : f_i(x^*) \geq 1 - \delta_i, i = 1, \dots, n\}$  is a point of continuity of  $B_{\|\cdot\|^*}$ .*

*Proof.* Assume that  $x^*$  is a point of continuity of  $W$  and let  $\{x_\alpha^*\} \subset B_{\|\cdot\|^*}$  be a net such that  $\lim_\alpha x_\alpha^* = x^*$  in the weak topology. Consider for every  $\alpha$ ,

$$\varepsilon_\alpha^i = \max \{1 - \delta_i - f_i(x_\alpha^*), 0\},$$

for  $i = 1, \dots, n$  and define

$$\begin{aligned} \varepsilon &= \min \{f_i(y) - 1 + \delta_i; i = 1, \dots, n\}, \\ \lambda_\alpha &= \max \{\varepsilon_\alpha^i / \varepsilon; i = 1, \dots, n\}, \\ z_\alpha^* &= \lambda_\alpha y^* + (1 - \lambda_\alpha) x_\alpha^*. \end{aligned}$$

It is clear that  $\lim_\alpha \varepsilon_\alpha^i = 0$ , for  $i = 1, \dots, n$ . We may assume that  $0 \leq \lambda_\alpha \leq 1$ , since  $\lim_\alpha \lambda_\alpha = 0$ . It is straightforward to verify that  $z_\alpha^* \in W$  and  $\lim_\alpha z_\alpha^* = x^*$  in the weak topology. Thus,  $\lim_\alpha \|z_\alpha^* - x^*\| = 0$  and  $\lim_\alpha \|x_\alpha^* - x^*\| = 0$ .  $\square$

## 4. REMARKS

As far as we know, neither the proof of the James' Theorem (for the non-separable case) nor the Josefson–Nissenzweig' Theorem seem to give insight to prove the weak density of  $X^* \setminus NA$  in every non-reflexive Banach space. On the other hand, a wide class of Banach spaces can be renormed in such a way that  $NA$  contains an *norm dense open* set of the dual space:

(i) *Banach spaces with property  $\alpha$* . It has been proved in [21] that every norm  $\|\cdot\|$  with property  $\alpha$  satisfies that  $NA_{\|\cdot\|}$  contains a dense open set. The class of Banach spaces which can be renormed with property  $\alpha$  includes those Banach spaces admitting a biorthogonal system with cardinality the density of the space [11]. Therefore, many Banach spaces failing the Radon Nikodym Property are in this situation. For instance, the space  $\ell_\infty(\Gamma)$  for every  $\Gamma$ .

(ii) *Banach spaces with the Mazur Intersection Property*. In this case, it is necessary to renorm the space as it is done in [20]. This class includes every Banach space  $X$  whose dual  $X^*$  has a fundamental biorthogonal system in  $X^* \times X$  [15]. Let us mention also that the dual of a Banach space with the Mazur Intersection Property can fail the  $C^*PCP$  and, moreover, any hereditary isomorphic property, since it was proved in [16] that every Banach space can be complementably embedded into a Banach space with the Mazur Intersection Property.

These examples show the necessity of assuming further geometrical properties on non reflexive Banach spaces to ensure that  $NA$  contains no open sets. They also point out the difficulty of keeping the structure of the set  $NA$  under renormings. However, Debs, Godefroy and S. Raymond proved that in a separable Banach space  $(X, \|\cdot\|)$  there is an equivalent Gâteaux differentiable norm  $|\cdot|$  such that  $NA_{\|\cdot\|} = NA_{|\cdot|}$  [4].

## REFERENCES

- [1] M. D. Acosta and M. R. Galán, *New characterizations of the reflexivity in terms of the set of norm attaining functionals*, preprint.
- [2] Richard D. Bourgin, *Geometric aspects of convex sets with the Radon-Nikodým property*, vol. 993, Lecture Notes in Mathematics, Springer-Verlag, 1983, 57–59.
- [3] Dongjian Chen and Bor-Luh Lin, *Ball separation properties in Banach spaces*, preprint.
- [4] G. Debs, G. Godefroy and J. S. Raymond, *Topological properties of the set of norm-attaining linear functionals*, *Canad. J. Math.* **47**(2), (1995), 318–329.
- [5] R. Deville, G. Godefroy, D. E. G. Hare and V. Zizler, *Differentiability of convex functions and the convex point of continuity property in Banach spaces*, *Isr. J. Math.* **59**(2), 1987, 245–255.

- [6] R. Deville, G. Godefroy, and V. Zizler, *Smoothness and renormings in Banach spaces*, vol. 64, Pitman Monograph and Surveys in Pure and Applied Mathematics, 1993.
- [7] P. G. Georgiev, *On the residuality of the set of norms having Mazur's intersection property*, *Mathematica Balkanica* **5** (1991), 20–26.
- [8] J. R. Giles, D. A. Gregory, and B. Sims, *Characterization of normed linear spaces with Mazur's intersection property*, *Bull. Austral. Math. Soc.* **18** (1978), 471–476.
- [9] G. Godefroy, *Nicely smooth Banach spaces*, The University of Texas at Austin, Functional Analysis Seminar, 1984–1985
- [10] G. Godefroy, *Boundaries of a convex set and interpolation sets*, *Math. Ann.* **277** (1987), 173–184.
- [11] B. V. Godun and S. L. Troyanski, *Renorming Banach spaces with fundamental biorthogonal system*, *Contemporary Math.* **144** (1993), 119–126.
- [12] Zhibao Hu and Bor-Luh Lin, *Smoothness and the asymptotic-norming properties of Banach spaces*, *Bull. Austral. Math. Soc.* **45** (1992), 285–296.
- [13] D. E. G. Hare, *A dual characterization of Banach spaces with the convex point-of-continuity property*, *Canad. Math. Bull. Vol.* **32** (3), 274–280.
- [14] R. C. James, *Reflexivity and the sup of linear functionals*, *Isr. J. Math.* **13** (1972), 289–300.
- [15] M. Jiménez Sevilla and J. P. Moreno, *The Mazur intersection property and Asplund spaces*, *C. R. Acad. Sci. Paris sér I Math.*, t.321, 1219–1223, 1995.
- [16] M. Jiménez Sevilla and J. P. Moreno, *Renorming Banach spaces with the Mazur Intersection Property*, *J. Funct. Anal.* **144**(2) (1997), 486–504.
- [17] Bor-Luh Lin, Pei-Kee Lin and S. L. Troyanski, *Characterizations of denting points*, *Proc. Amer. Math. Soc.* **102**, No. 3 (1988), 526–528.
- [18] S. Mazur, *Über schwache Konvergenz in den Raumen ( $L^p$ )*, *Studia Math.* **4**, (1933), 128–133.
- [19] W. B. Moors, *The relationship between Goldstine's Theorem and the convex point of continuity property*, *J. Math. Anal. Appl.* **188**, (1994), 819–832.
- [20] J. P. Moreno, *On the Weak\* Mazur Intersection Property and Fréchet differentiable norms on open dense sets*, *Bull. Sci. Math.*, to appear.
- [21] J. P. Moreno, *Geometry of Banach spaces with  $(\alpha, \varepsilon)$ -property or  $(\beta, \varepsilon)$ -property*, *Rocky Mountain J. Math.* **27**, No. 1 (1997), 241–256.
- [22] Y. I. Petunin and A. N. Plichko *Some properties of the set of functionals which attain their supremum on the unit sphere*, *Ukrainian Math. Journal*, **26** (1), (1974), 85–88.
- [23] R. R. Phelps, *Convex functions, monotone operators and differentiability*, *Lecture Notes in Math.* 1364, Springer-Verlag.
- [24] S. Sullivan, *Geometrical properties determined by the higher duals of a Banach space*, *Illinois J. of Math.* **21**, (1977), 315–331.
- [25] S. L. Troyanski, *On locally uniformly convex and differentiable norms in certain non separable Banach spaces*, *Studia Math.* **37**, (1971), 173–180.

DPTO. DE ANÁLISIS MATEMÁTICO. FACULTAD DE CIENCIAS MATEMÁTICAS. UNIVERSIDAD COMPLUTENSE DE MADRID. MADRID, 28040. SPAIN

*E-mail address:* marjim@sunam1.mat.ucm.es

DPTO. DE MATEMÁTICAS C–XV. UNIVERSIDAD AUTÓNOMA. MADRID, 28049. SPAIN

*E-mail address:* moreno@sunam1.mat.ucm.es