

A characterization of p -nuclear operators on spaces of continuous functions

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Workshop on Geometric and Functional Analysis, Madrid,
March 18-20, 2026

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Introduction

Let $(X, \|\cdot\|_X)$ be a Banach space and let X^* denote the Banach dual of X . By $\mathcal{B}_o$ we denote the σ -algebra of Borel sets in a compact Hausdorff space K .

The **variation** $|\mu|$ of the measure $\mu : \mathcal{B}_o \rightarrow X$ on $A \in \mathcal{B}_o$ is defined by:

$$|\mu|(A) := \sup \sum \|\mu(A_i)\|_X,$$

where the supremum is taken over all finite $\mathcal{B}_o$ -partitions (A_i) of A (see [DU, Definition 4, p. 2]).



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By $M(K, X^*)$ we denote the Banach space of all countably additive regular measures $\mu : \mathcal{B}_0 \rightarrow X^*$ of bounded variation ($|\mu|(K) < \infty$), equipped with the variation norm $\|\mu\| := |\mu|(K)$.

Theorem

Every continuous linear functional on $C(K, X)$ can be identified with $\mu \in M(K, X^*)$ through the isomorphism

$$\Phi : M(K, X^*) \ni \mu \mapsto \Phi_\mu \in C(K, X)^*,$$

where $\Phi_\mu(f) = \int_K f(t) d\mu$ for $f \in C(K, X)$ and $\|\Phi_\mu\| = |\mu|(K)$.

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Let $(Y, \|\cdot\|_Y)$ be a Banach space. By $\mathcal{L}(X, Y)$ we denote the space of all bounded linear operators from X to Y .

Assume that $T : C(K, X) \rightarrow Y$ is a continuous linear operator. Then the biconjugate operator $T^{**} : C(K, X)^{**} \rightarrow Y^{**}$ of T is defined by

$$T^{**}(\Psi)(y^*) := \Psi(y^* \circ T) \quad \text{for } \Psi \in C(K, X)^{**}, y^* \in Y^*.$$

For $A \in \mathcal{B}o$ and $x \in X$, let

$$\Psi_{A,x}(\Phi_\mu) := \mu(A)(x) \quad \text{for } \mu \in M(K, X^*).$$

Then $\Psi_{A,x} \in C(K, X)^{**}$ and one can define a measure $\hat{m} : \mathcal{B}o \rightarrow \mathcal{L}(X, Y^{**})$ (called the **representing measure** of T) by

$$\hat{m}(A)(x) := T^{**}(\Psi_{A,x}) \quad \text{for } A \in \mathcal{B}o, x \in X.$$

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The **semivariation** $\|\hat{m}\|$ of $\hat{m} : \mathcal{B}_0 \rightarrow \mathcal{L}(X, Y^{**})$ on $A \in \mathcal{B}_0$ is defined by

$$\|\hat{m}\|(A) := \sup \left\| \sum \hat{m}(A_i)(x_i) \right\|_{Y^{**}},$$

where the supremum is taken over all finite $\mathcal{B}_0$ -partitions (A_i) of A and x_i is from the unit ball B_X in X for each i (see [DU, Definition 4, p.2]).

Riesz representation theorem for operators

Let $i_Y : Y \rightarrow Y^{**}$ denote the canonical embedding and j_Y be the left inverse of i_Y .

Dinculeanu–Singer Theorem [DU, p.182]

Assume that $T : C(K, X) \rightarrow Y$ is a continuous linear operator. Then there exists a unique representing measure $\hat{m} : \mathcal{B}_O \rightarrow \mathcal{L}(X, Y^{**})$ such that:

- (i) $\|\hat{m}\|(K) < \infty$;
- (ii) for every $y^* \in Y^*$, the measure $\hat{m}_{y^*} : \mathcal{B}_O \rightarrow X^*$ is regular, where $\hat{m}_{y^*}(A) := (\hat{m}(A)(x))(y^*)$ for $A \in \mathcal{B}_O$, $x \in X$;
- (iii) the mapping $Y^* \ni y^* \mapsto \hat{m}_{y^*} \in M(K, X^*)$ is $(\sigma(Y^*, Y), \sigma(M(K, X^*), C(K, X)))$ -continuous;
- (iv) for $f \in C(K, X)$, $\int_K f(t) d\hat{m} \in i_Y(Y)$ and $T(f) = j_Y(\int_K f(t) d\hat{m})$;
- (v) for each $y^* \in Y^*$, $y^*(T(f)) = \int_K f(t) d\hat{m}_{y^*}$ for $f \in C(K, X)$.

Conversely, assume that a measure $\hat{m} : \mathcal{B}_O \rightarrow \mathcal{L}(X, Y^{**})$ satisfies the conditions (i), (ii) and (iii). Then there exists a unique continuous linear operator $T : C(K, X) \rightarrow Y$ such that (iv) and (v) hold.

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Riesz representation theorem for operators

Corollary [BrL, Corollary 4.4.1]

If a continuous linear operator $T : C(K, X) \rightarrow Y$ is weakly compact, then $\hat{m}(A)(x) \in i_Y(Y)$ for $A \in \mathcal{B}_0$, $x \in X$.

Then one can define a measure $m : \mathcal{B}_0 \rightarrow \mathcal{L}(X, Y)$ by

$$m(A)(x) := j_Y(\hat{m}(A)(x)) \quad \text{for } A \in \mathcal{B}_0, x \in X.$$



[BrL] Brooks, J. K. and P. Lewis, *Linear Operators and Vector Measures*, Trans. Amer. Math. Soc., 192 (1974), 139–162.

Different classes of operators

A linear operator $U : X \rightarrow Y$ is **nuclear** if there exist sequences (x_n^*) in X^* and (y_n) in Y such that

$$U(x) = \sum_{n=1}^{\infty} x_n^*(x) y_n \quad \text{for } x \in X,$$

where $\sum_{n=1}^{\infty} \|x_n^*\|_{X^*} \|y_n\|_Y < \infty$.

The **nuclear norm** of a nuclear operator $U : X \rightarrow Y$ is defined by

$$\|U\|_{nuc} := \inf \left\{ \sum_{n=1}^{\infty} \|x_n^*\|_{X^*} \|y_n\|_Y \right\},$$

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Different classes of operators

Theorem [Po₁, Theorem 1], [SS, Proposition 1]

Suppose X^* has the Radon–Nikodym Property. Then $T : C(K, X) \rightarrow Y$ is nuclear if and only if

- (i) m takes value in $\mathcal{N}(X, Y)$;
- (ii) $m : \mathcal{B}_0 \rightarrow \mathcal{N}(X, Y)$ is $\|\cdot\|_{nuc}$ -countably additive;
- (iii) $|m|_{nuc}(K) < \infty$;
- (iv) m has Bochner derivative with respect to $|m|_{nuc}$, i.e., there exists $H \in L^1(|m|_{nuc}, \mathcal{N}(X, Y))$ such that

$$m(A) = \int_A H(t) d|m|_{nuc} \text{ for } A \in \mathcal{B}_0.$$



[Po₁] Popa, D., *Nuclear operators on $C(T, X)$* , Stud. Cerc. Mat., 42 no. 1 (1990), 47–50.



[SS] Saab, P., B. Smith, *Nuclear operators on spaces of continuous vector-valued functions*, Glasgow Math. J., 33 no. 2 (1991), 223–230.

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Different classes of operators

Let $1 < p < \infty$. A continuous linear operator $U : X \rightarrow Y$ is **p -absolutely summing** if $\sum_{n=1}^{\infty} \|U(x_n)\|_Y^p < \infty$ whenever (x_n) in X such that $\sum_{n=1}^{\infty} |x^*(x_n)|^p < \infty$ for $x^* \in X^*$.

Theorem [BiL, Theorem 4.1 and Theorem 2.5], [Po₂, Theorem 2]

If $T : C(K, X) \rightarrow Y$ is p -absolutely summing, then

- (i) m takes value in $\mathcal{AS}_p(X, Y)$;
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Conversely, if $|m|_{p-abs}(K) < \infty$, then T is p -absolutely summing.



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Different classes of operators

Let $1 < p < \infty$ and $1/p + 1/p^* = 1$.

Definition [DJT, p. 112]

A linear operator $U : X \rightarrow Y$ is said to be **p -nuclear** if there exist sequences (x_n^*) in X^* and (y_n) in Y such that

$$(1) \quad U(x) = \sum_{n=1}^{\infty} x_n^*(x) y_n \quad \text{for } x \in X$$

with

$$\left(\sum_{n=1}^{\infty} \|x_n^*\|_{X^*}^p \right)^{\frac{1}{p}} < \infty \quad \text{and} \quad \sup_{\|y^*\|_{Y^*} \leq 1} \left(\sum_{n=1}^{\infty} |y^*(y_n)|^{p^*} \right)^{\frac{1}{p^*}} < \infty.$$



[DJT] Diestel J., H. Jarchow and A. Tonge, *Absolutely Summing Operators*, Cambridge Stud. Adv. Math., vol. 43, Cambridge Univ. Press, 1995.

Different classes of operators

The **p -nuclear norm** of a p -nuclear operator $U : X \rightarrow Y$ is defined by

$$\|U\|_{p\text{-}nuc} := \inf \left\{ \left(\sum_{n=1}^{\infty} \|x_n^*\|_{X^*}^p \right)^{\frac{1}{p}} \sup_{\|y^*\|_{Y^*} \leq 1} \left(\sum_{n=1}^{\infty} |y^* y_n|^{p^*} \right)^{\frac{1}{p^*}} \right\},$$

where the infimum is taken over all representations (1) of U .

The linear space $\mathcal{N}_p(X, Y)$ of all p -nuclear operators $U : X \rightarrow Y$, equipped with the p -nuclear norm $\|\cdot\|_{p\text{-}nuc}$ is a Banach space.

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Following [Po₂, Theorem 2]:

Definition

The **p -variation** $|m|_{p\text{-}nuc}^p$ of a measure $m : \mathcal{B}o \rightarrow \mathcal{N}_p(X, Y)$ on $A \in \mathcal{B}o$ is defined by

$$|m|_{p\text{-}nuc}^p(A) := \sup \left\{ \left(\sum_{i=1}^k \|m(A_i)\|_{p\text{-}nuc}^p \right)^{1/p} \right\},$$

where the supremum is taken over all finite $\mathcal{B}o$ -partitions (A_i) of A .

Theorem

Assume that $T : C(K, X) \rightarrow Y$ is a p -nuclear operator and $\hat{m}$ is its representing measure. Then the following statements hold:

- (i) For each $A \in \mathcal{B}_0$, $m(A) : X \rightarrow Y$ is p -nuclear operator.
- (ii) $m : \mathcal{B}_0 \rightarrow \mathcal{N}_p(X, Y)$ is $\|\cdot\|_{p\text{-}nuc}$ -countably additive.
- (iii) $|m|_{p\text{-}nuc}^p(K) \leq \|T\|_{p\text{-}nuc}^p$.

Theorem

Assume that $T : C(K, X) \rightarrow Y$ is a p -nuclear operator and $\hat{m}$ is its representing measure. Then the following statements hold:

- (i) For each $A \in \mathcal{B}_0$, $m(A) : X \rightarrow Y$ is p -nuclear operator.
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p -nuclear operators and the p -variation

Following [Po₂, Lemma 3]:

Example

Let $x^* \in X^*$ and $y \in Y$ be such that $\|x^*\|_{X^*} = 1 = \|y\|_Y$. For fixed $t \in [0, 1]$, denote $\nu := 2\delta_t - \mu$, where δ_t is the Dirac measure and μ is the Lebesgue measure. We define the one rank operator $T : C([0, 1], X) \rightarrow Y$ by

$$T(f) := \left(\int_0^1 x^*(f(t)) d\nu \right) y \quad \text{for } f \in C([0, 1], X).$$

It follows that T is p -nuclear for $1 < p < \infty$ and $\|T\|_{p\text{-}nuc} = 3$.
On the other hand, the representing measure m is given by the formula

$$m(A) = (x^* \otimes y)\nu(A) \quad \text{for } A \in \mathcal{B}_0,$$

where $(x^* \otimes y)(x) := x^*(x)y$ for $x \in X$.

Thus $|m|_{p\text{-}nuc}^p([0, 1]) = (2^p + 1)^{\frac{1}{p}}$.

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Pettis integrable derivative

Let $(K, \mathcal{B}_0, \lambda)$ be a finite measure space.

The weakly measurable function $h : K \rightarrow Y$ is λ -**Pettis integrable** if for each $A \in \mathcal{B}_0$, there exists $y_A \in Y$ such that

$$y^*(y_A) = \int_A y^*(h(t)) d\lambda \quad \text{for every } y^* \in Y^*.$$

Then $(P) \int_A h(t) d\lambda := y_A$ is called **the Pettis integral** of h over $A \in \mathcal{B}_0$ with respect to λ .

Pettis integrable derivative

Let $X \otimes Y$ be the tensor product of Banach spaces X and Y .

The **left Chevet–Saphar p -norm** for $u \in X \otimes Y$ is defined by

$$g_p(u) := \inf \left\{ \left(\sum_{i=1}^n \|x_i\|_X^p \right)^{\frac{1}{p}} \sup_{\|y^*\|_{Y^*} \leq 1} \left(\sum_{i=1}^n |y^*(y_i)|^{p^*} \right)^{\frac{1}{p^*}} : u = \sum_{i=1}^n x_i \otimes y_i \right\},$$

where the infimum is taken over all sequences $(x_i)_{i=1}^n$ in X and $(y_i)_{i=1}^n$ in Y such that u admits the above representation (see [R, p. 135]).

By $X \hat{\otimes}_{g_p} Y$ we denote the completion of the normed space $(X \otimes Y, g_p)$.



[R] Ryan, R., *Introduction to Tensor Products of Banach Spaces*, Springer Monographs in Math., 2002.

Proposition [R, Proposition 6.10]

For $u \in X \hat{\otimes}_{g_p} Y$, there exist sequences (x_n) in X and (y_n) in Y with $(\sum_{n=1}^{\infty} \|x_n\|_X^p)^{\frac{1}{p}} < \infty$ and $\sup_{\|y^*\|_{Y^*} \leq 1} (\sum_{n=1}^{\infty} |y^* y_n|^{p^*})^{\frac{1}{p^*}} < \infty$ such that

$$u = \sum_{n=1}^{\infty} x_n \otimes y_n$$

and

$$g_p(u) = \inf \left\{ \left(\sum_{n=1}^{\infty} \|x_n\|_X^p \right)^{\frac{1}{p}} \sup_{\|y^*\|_{Y^*} \leq 1} \left(\sum_{n=1}^{\infty} |y^* y_n|^{p^*} \right)^{\frac{1}{p^*}} \right\},$$

where the infimum is taken over all such representations.

Proposition

If a function $h \in L^1(\lambda) \hat{\otimes}_{g_p} Y$ is such that $h(t) \in Y$ for every $t \in K$, then h is λ -Pettis integrable.

Theorem [DU, Theorem 4, p. 11, and Chap. VI, Section 2]

If $T : C(K) \rightarrow Y$ is weakly compact, then $\hat{m}(A) \in i_Y(Y)$ for every $A \in \mathcal{B}_0$, and there is a measure $\lambda \in M^+(K)$, called the **control measure** for $m := j_Y \circ \hat{m} : \mathcal{B}_0 \rightarrow Y$, so that

$$\sup\{|y^* \circ m|(A) : y^* \in B_{Y^*}\} \rightarrow 0$$

as $\lambda(A) \rightarrow 0$.

Theorem

Let $T : C(K) \rightarrow Y$ be a p -nuclear operator. Then there exist a measure $\lambda \in M^+(K)$ and a sequence (h_n) in $L^1(\lambda) \otimes Y$, $h_n := \sum_{i=1}^n v_i \otimes y_i$ for $n \in \mathbb{N}$, converging to some $h \in L^1(\lambda) \hat{\otimes}_{g_p} Y$ such that

$$m(A) = \sum_{n=1}^{\infty} \left(\int_A v_n(t) d\lambda \right) y_n \text{ for } A \in \mathcal{B}_0.$$

Moreover, if $h(t) \in Y$ for every $t \in K$, then

$$m(A) = (P) \int_A h(t) d\lambda \text{ for } A \in \mathcal{B}_0.$$

In this case, $g_p(h) \leq \|T\|_{p\text{-}nuc}$.

Theorem

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In this case, $g_p(h) \leq \|T\|_{p\text{-}nuc}$.

Theorem

Let $T : C(K) \rightarrow Y$ be a weakly compact operator and $\lambda \in M^+(K)$ be a control measure for m . If there exists $h \in L^1(\lambda) \hat{\otimes}_{g_p} Y$ such that

- (i) $h(t) \in Y$ for every $t \in K$,
- (ii) $m(A) = (P) \int_A h(t) d\lambda$ for $A \in \mathcal{B}_0$,

then T is p -nuclear and $\|T\|_{p\text{-}nuc} \leq g_p(h)$.

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Thank you for your attention!